Attorney's Docket No.: 50277-452 (210-1999-038-01)

DECLARATION FOR PATENT APPLICATIO

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my hame.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

entitled	,		·	J		
the specification of whic		METHOD FOR GENERATING D	ECISION -	TREES ·		
	is attached hereto.					
<u> </u>		oril 21, 2000		as		
	United States Appli	cation Number09/553,956 al Application Number		,		
	and was amended	• • • • • • • • • • • • • • • • • • • •		,		
	and was amonasa	(if applicable)		·		
hereby state that I have claims(s), as amended I		erstand the contents of the above referred to above.	-identified	specification,	including the	
l acknowledge the duty Federal Regulations, Se		nation known to me to be material ached).	to patenta	bility as define	ed in Title 37, Code o)f
or patent or inventor's o	certificate listed below	Title 35, United States Code, Sew and have also identified below the application on which priority is	any foreigr			
Drior Foreign Application	2(2)		Priority Claimed			
Prior Foreign Application	1(2)		Claimeu			
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No		
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No		
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No		
hereby claim the benefapplication(s) listed belo		ited States Code, Section 119(e)	of any Uni	ted States pro	visional	
60/130,636		April 23, 1999				
(Application Number)	(Filing Date)				
(Application Number	r)	(Filing Date)				

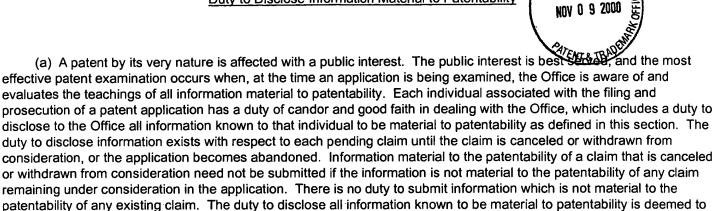
		•
insofar as the subject matter of in the manner provided by the disclose all information known	f each of the claims of this first paragraph of Title 35, to me to be material to pat hich became available be	e, Section 120 of any United States application(s) listed below and, application is not disclosed in the prior United States application United States Code, Section 112, I acknowledge the duty to tentability as defined in Title 37, Code of Federal Regulations, stween the filing date of the prior application and the national or
(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)
(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)
and belief are believed to be tru	e; and further that these st	In knowledge are true and that all statements made on information atements were made with the knowledge that willful false imprisonment, or both, under Section 1001 of Title 18 of the United

States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor (given name, family name)Th	omas A. Runkler		
Inventor's Signature	Date 10/24/00		
Residence Munich, Germany (City, State)	Citizenship Germany (Country)		
Post Office Address Luederitzstrasse 14, 81929, Munich, Germany			
Full Name of Second Joint Inventor (given name, family name) St	nounak Roychowdhury		
Inventor's Signature	Date		
Residence Foster City, California (City, State)	Citizenship <u>India</u> (Country)		
Post Office Address			







(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated

- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

of

J-1999-038-01) Attorney's Docket No.: 50277-452 (5

DECLARATION FOR PATENT APPLICATION

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SYSTEM AND METHOD FOR GENERATING DECISION TREES

the specification of whic	n			
•	is attached hereto.			
	was filed onApr	il 21, 2000		as
	United States Applic	ation Number <u>09/553,956</u>		
	or PCT International	Application Number		,
	and was amended o			·
	•	(if applicable)		
I hereby state that I have claims(s), as amended t		rstand the contents of the above- eferred to above.	identified	specification, including the
I acknowledge the duty Federal Regulations, Se			to patenta	ability as defined in Title 37, Code
for patent or inventor's o	ertificate listed below		ny foreigr	a)-(d), on any foreign application(n application for patent or inventor
			Deioeite	
Prior Foreign Application	2(0)		Priority Claimed	
Filor Foreign Application	<u>1(5)</u>		Claimed	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
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I hereby claim the benefapplication(s) listed belo		ed States Code, Section 119(e) o	of any Uni	ted States provisional
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(Application Number		(Filing Date)		
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insofar as the subject matter of e in the manner provided by the fir disclose all information known to	each of the claims of this a est paragraph of Title 35, L o me to be material to pate ich became available betw	Section 120 of any United States application(s) listed below and, application is not disclosed in the prior United States application United States Code, Section 112, I acknowledge the duty to intability as defined in Title 37, Code of Federal Regulations, ween the filing date of the prior application and the national or
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Full Name of Sole/First Inventor (given name, family name)	Thomas A. Runkler
Inventor's Signature		Date
Residence <u>Munich, Germany</u> (City, State)		Citizenship <u>Germany</u> (Country)
Post Office Address <u>Luederitzstra</u>	asse 14, 81929, Munich, G	ermany

Date oct 17 2000

_Citizenship _India_

(Country)

Full Name of Second Joint Inventor (given name, family name) Shounak Roychowdhury

Inventor's Signature

Residence Foster City, California

(City, State)





Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability



- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
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